

Form **NC-99801**
(06-01-2012)

U.S. DEPARTMENT OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU

If not shown, please enter your 11-digit Census File Number (CFN) from the mailing address.

COMPANY ACTIVITIES

1 FOREIGN OWNERSHIP AND AFFILIATION

A. FOREIGN OWNERSHIP OR CONTROL

Does a foreign entity (company, individual, government, etc.) own directly or indirectly 10 percent or more of the voting stock or other equity rights of your company?

6101 Yes - Enter the following information of the owning entity and go to line B7

6103 Name of foreign beneficial owner

6104 Home office address (Number and street)

6105 City

What was the percent ownership (direct and indirect)? (Mark "X" only ONE box.)

6111 10% - 24%

6113 50%

6115 100%

6112 25% - 49%

6114 51% - 99%

6102 No - Go to line B

B. FOREIGN AFFILIATES

Does this company alone, or with its domestic affiliates, own 10 percent or more of the voting stock of an incorporated foreign business enterprise, or an equivalent interest in an unincorporated business enterprise, including ownership of real estate?

6126 Yes

6127 No

2 RESEARCH AND DEVELOPMENT

How much research and development (R&D) did your company perform or fund in the United States in 2012?

6139 None

6132 Some, but less than \$3 million

6133 \$3 million or more

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HOW TO REPORT DOLLAR FIGURES

Dollar figures should be **rounded to thousands** of dollars.

Mark "X" if None

If a figure is **\$2,035,628.79**: **Report** →

If a value is "0" (or less than \$500.00): **Report** →

2012		
\$ Bil.	Mil.	Thou.
	2	036
EXAMPLE		

3 ROYALTIES AND LICENSE FEES FOR THE USE OF INTELLECTUAL PROPERTY

A. Did your company's U.S. operations earn revenues in 2012 from royalties and license fees for rights to use intellectual property?

Include:

- Revenues from royalties and license fees for intellectual property owned by your company's domestic operations (i.e., located in the 50 states and the District of Columbia).
- Royalties and license fees paid to your company's domestic operations by foreign subsidiaries.

Exclude:

- Sales involving the transfer of ownership rights.
- Sales of products sold as end-use licenses.
- Franchise fees.

9701 Yes - Go to line B

9702 No - Go to **4**, MANUFACTURING ACTIVITIES

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B. Revenues from royalties and license fees for rights to use intellectual property in 2012 9703

Mark "X" if None

2012		
\$ Bil.	Mil.	Thou.

C. Revenues from your company's U.S. operations, as reported in line B, for the following types of royalties and license fees in 2012:

1. Technological or industrial processes (Include patents, trade secrets, and proprietary technology. Exclude computer software.) 9704

Mark "X" if None

2012		
\$ Bil.	Mil.	Thou.

2. Entertainment, artistic, educational, and literary original works (Include royalties and license fees for rights to perform, broadcast, reproduce, and sell copyrighted materials and other intellectual property such as films, television and radio programs, written works, and musical and other artistic works. Exclude computer software.) 9705

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3. Software - Rights to reproduce, distribute, or use software protected by copyright and owned or controlled by the licensor (Exclude sales of software sold with end-use licenses, as well as custom software and programming services.) 9706

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4. Other - Specify ↴

9751

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5. TOTAL (Sum of lines C1 through C4 should equal line B.) 9708

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4 MANUFACTURING ACTIVITIES - **Please respond even if you are not a manufacturer.**

In 2012, did your company do any of the following activities related to manufacturing?

A. Operate manufacturing facilities (such as a factory, plant, or mill) where products are completed or partially produced?

9709 Yes - *Go to line B*

9710 No - *Go to line C*

B. Provide contract manufacturing services to other companies incorporating their patents, trade secrets, or proprietary technology?

9711 Yes

9712 No - *Go to line C*

Estimate the percent of operating revenues and net sales from contract manufacturing services.

9713 Less than 25%

9714 25% - 49%

9715 50% - 74%

9716 75% - 99%

9717 100%

C. Purchase contract manufacturing services from other companies or foreign subsidiaries of your company incorporating your company's patents, trade secrets, or proprietary technology?

9718 Yes

9719 No - *Go to Remarks*

1. Use 3rd party contract manufacturing services inside the United States (i.e., located in the 50 states and the District of Columbia)?

9720 Yes

9721 No

2. Use 3rd party contract manufacturing services outside the United States (i.e., located outside the 50 states and the District of Columbia)?

9722 Yes

9723 No

3. Use your company's foreign subsidiaries' or affiliates' contract manufacturing services at locations outside the United States (i.e., located outside the 50 states and the District of Columbia)?

9724 Yes

9725 No

4. Estimate the percent of the cost of sales from expenses for contract manufacturing services.

9726 Less than 25%

9727 25% - 49%

9728 50% - 74%

9729 75% - 99%

9730 100%

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YOUR RESPONSE IS REQUIRED BY LAW. Title 13, United States Code, requires businesses and other organizations that receive this questionnaire to answer the questions and return the report to the U.S. Census Bureau. By the same law, **YOUR CENSUS REPORT IS CONFIDENTIAL.** It may be seen only by persons sworn to uphold the confidentiality of Census Bureau information and may be used only for statistical purposes. Further, copies retained in respondents' files are immune from legal process.

PUBLIC REPORTING BURDEN

- Public Reporting Burden for this collection of information is estimated to be 16 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 0607-0444, U.S. Census Bureau, 4600 Silver Hill Road, AMSD - 3K2138, Washington, DC 20233. You may e-mail comments to Paperwork@census.gov; use "Paperwork Project 0607-0444" as the subject.
- Response to this collection of information is required unless it does not display a valid approval number from the Office of Management and Budget (OMB). The OMB eight-digit number appears in the upper right hand corner of the form.
- Respondents can get assistance in completing and filing this form by visiting the Census Bureau website at econhelp.census.gov, by calling the toll-free number (800-233-6136) between 8:00 a.m. and 6:00 p.m., Eastern time, Monday through Friday, or by writing the Census Bureau at the address below.

U.S. Census Bureau
1201 East 10th Street
Jeffersonville, IN 47134-0001

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REMARKS (Please use this space for any explanations that may be essential in understanding your reported data.)

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